

Advisory Committee Disclosure Form

Name: Chris Nielsen

Firm: Charles Schwab & Co., Inc.

- Provide the Advisor's title and a brief description of the Advisor's role within the firm.  
Director, Market Data Management  
Lead teams responsible for: market data services platform, market data strategy, and exchanges/vendors relationship management.
- Does the Advisor have responsibilities related to the firm's use or procurement of market data?  
Yes.
- Does the Advisor have responsibilities related to the firm's trading or brokerage services?  
No.
- Does the Advisor's firm use the SIP?  
Yes.
- Does the Advisor's firm use exchange Proprietary Market Data products?  
Yes.
- Does the Advisor's firm have an ownership interest of 5% or more in one or more Participants?  
Yes.
  - If yes, list the Participant(s).  
MEMX LLC
- Does the Advisor actively participate in any litigation against the Plans?  
No.
- Does the Advisor or the Advisor's firm have additional relationships or material economic interests that could be perceived by a reasonable objective observer to present a potential conflict of interest with their responsibilities to the Plan?  
No.
  - If so, provide a detailed narrative discussion of all material facts necessary to identify the potential conflicts of interest and the effects they may have on the Plan.