

Member or SRO Group: LTSE

- Is the Member for-profit or not-for-profit? **Answer:** The Participant is for profit.
 - If the Member is for-profit, is it publicly or privately owned?
Answer: The Participant is privately owned.
 - If privately owned, list any owner with an interest of 5% or more of the Member, where to the Member's knowledge, such owner, or any affiliate controlling, controlled by, or under common control with the owner, subscribes, directly or through a third-party vendor, to CT Feeds and/or Member PDP.
Answer: Not applicable.

- Does the Member offer PDP? **Answer:** Yes.
 - If yes, list each product, describe its content, and provide a link to where fees for each product are disclosed.
Answer: Please see the below.

LTSE MEMOIR Depth. LTSE MEMOIR (Member's Order Information Record) Depth is a data feed that contains all displayed orders for listed securities trading on the Exchange, order executions, order cancellations, order modifications, order identification numbers, and administrative messages.

LTSE MEMOIR Top. LTSE MEMOIR Top is an uncompressed data feed that offers top of book quotations based on equity orders entered into the System.

LTSE MEMOIR Last Sale. LTSE MEMOIR Last Sale is an uncompressed data feed that offers only execution information based on equity orders entered into the System.

LTSE MEMOIR Historical Data. LTSE MEMOIR Historical Data is a data product that offers historical equities data.

LTSE's Market Data Fees can be found on LTSE's website, <https://ltse.com/trading/fee-schedules>.

- Provide the names of the Voting Representative, any alternate Voting Representatives designated by the Member, and any Member Observers. Also provide a narrative description of such persons' roles within the Member organization, including the title of each individual as well as any direct responsibilities related to the development, dissemination, sales, or marketing of the Member's PDP, and the nature of those responsibilities sufficient for the public to identify the nature of any potential conflict of interest that could be perceived by a reasonable objective observer as having an effect on the operation of the Company. If such persons work in or with the Member's PDP business, describe such persons' roles and describe how that business and such persons' Company responsibilities impacts their

compensation. In addition, describe how such persons' responsibilities with the PDP business may present a conflict of interest with their responsibilities to the Company.

Answer: LTSE's designated representatives are Jill Ostergaard and Alanna Barton. Jill is the Chief Regulatory Officer, who is responsible for managing LTSE's regulatory function as a national securities exchange and self-regulatory organization. Alanna is the Director and Senior Counsel for Market and Regulation.

- Does the Member, its Voting Representative, its alternate Voting Representative, its Member Observers, or any affiliate have additional relationships or material economic interests that could be perceived by a reasonable objective observer to present a potential conflict of interest with their responsibilities to the Company?

Answer: No.

- If so, provide a detailed narrative discussion of all material facts necessary to identify the potential conflicts of interest and the effects they may have on the Company.

Answer: Not applicable.