

Advisory Committee Disclosure Form

Name: Kerry Baker Relf

Firm: LSEG

- Provide the member of the Advisory Committee's title and a brief description of the member of the Advisory Committee's role within the firm as well as any direct responsibilities related to the procurement of PDP or CT Feeds or the development, dissemination, sales, or marketing of PDP, and the nature of those responsibilities sufficient for the public to identify the nature of any potential conflict of interest that could be perceived by a reasonable objective observer as having an effect on the operation of the Company. If such representatives work in or with their employer's market data business, describe such member of the Advisory Committee's roles and describe how that business impacts their compensation. In addition, describe how such representatives' responsibilities with the market data business may present a conflict of interest with their responsibilities to the Company.

**Group Director, Content Acquisition and Rights Management**

- Manage team that licenses data use and distribution of third-party data via LSEG (Refinitiv) data products. Currently LSEG works with 2,000 global third-party data providers and maintains approx 16,000 data licenses. The team licenses CT and PDP data from US exchanges and governs these data rights.
  - Leads a team of rights management specialists, covering day-to-day governance of existing third-party rights, consulting with Sales and the product teams on appropriate usage of data.
  - Manages programs in connection with digitization of rights management.
  - Oversees a team of compliance specialists, managing LSEG compliance with third party licenses and responses to audits. Compliance issues between the CT Plan and LSEG would not present a conflict of interest because conversations about compliance issues take place in Executive Committee without advisors present.
- Does the member of the Advisory Committee have responsibilities related to the firm's use or procurement of market data?

**Yes**

- Does the member of the Advisory Committee have responsibilities related to the firm's trading or brokerage services?

**No**

- Does the member of the Advisory Committee's firm use the CT Feeds?

**Yes**

- Does the member of the Advisory Committee's firm use a Member's PDP?

**Yes, we distribute some US exchange PDP.**

- Does the member of the Advisory Committee's firm offer PDP? If yes, list each product, described its content, and provide information about the fees for each product.

**LSEG does not offer any data that would compete directly with CT Plan data.**

- Does the member of the Advisory Committee's firm have an ownership interest of 5% or more in one or more Members?

**No**

- If yes, list the Member(s).

- Does the member of the Advisory Committee actively participate in any litigation against the CQ Plan, CTA Plan, UTP Plan, or the Company?

**No**

- Does the member of the Advisory Committee or the member of the Advisory Committee's firm have additional relationships or material economic interests that could be perceived by a reasonable objective observer to present a potential conflict of interest with their responsibilities to the Company.

**No**

- If so, provide a detailed narrative discussion of all material facts necessary to identify the potential conflicts of interest and the effects they may have on the Company.